

摘要

语篇研究是现代语言学继结构主义语言学和生成语言学之后 20 世纪 60 年代发展起来的语言学的一个研究领域，而且随着研究的不断深入，已涉及许多不同的学科和领域，比如，人类学、哲学、心理学、认知科学等学科，呈现出跨学科研究的特点和趋势。目前语篇研究包括结构、功能、体裁、类型、语境、互动交际、语篇与社会秩序的建构等其它跨学科性研究。然而语篇研究领域针对语篇类型所作的分析比较少，更鲜有对语篇类型之一的叙事语篇开展跨学科性的研究。

修辞理论，无论是在中国还是在西方，源远流长，博大精深。中国《易经》上就有了“修辞立其诚”；西方从古希腊修辞学传统直至当今的修辞观，传承几千年，构成极具西方特色的西方修辞学理论体系。20 世纪语言研究领域的“修辞转向”更是凸显了修辞学在人文学科中的位置，以及对其它学科的解释力。本论文正是从西方修辞学的视角对语篇类型之一——叙事语篇，进行跨学科的综合研究。之所以选择从修辞的视角来解读叙事语篇，另一层原因是国内外语言学界还很少有这方面的研究，而文学批评领域对叙事以及文本的叙事性的修辞诠释比较片面。随着跨学科研究的不断兴起，这种从修辞的视角对叙事语篇所开展的这种交叉学科研究无疑是人文学科研究的发展趋势。

本研究尝试探索叙事语篇研究的修辞理据，以 Aristotle 等为代表的古希腊修辞思想家所构筑的古典修辞学理论体系是当代语言学科研究之基础，叙事语篇研究或者说话语分析理论之缘起。就西方修辞学理论和叙事语篇研究的关联性而言，研究发现二者在目的、结构、语境、交际、作者与受众、动机、跨学科性等几方面的研究有契合之处，两门学科研究的最大契合点都是通过语言这种象征符号，以受众为对象的交际活动，而且都和社会现实密切相关，注重研究的社会交际性，这也为从修辞的视角研究叙事语篇给出了学理理据。论文选择相关修辞理论，其中包括修辞象征性、修辞动机、修辞情境、修辞互动观、劝说、同一等经典修辞学理论对叙事语篇进行阐释，研究发现从西方修辞的视角能廓清叙事语篇的生成和理解机制。

本课题作为一种人文社会科学研究注重以质性研究方法为主，这种研究重视以思辨研究为基础，并适时地结合量性研究方法，加以一定的实证分析，廓清了

叙事语篇研究的修辞理据性，明确了西方修辞学和叙事语篇研究的契合之处，最为重要的是确定了从西方修辞的视角能澄清叙事语篇的生成和理解过程。而且这种对叙事语篇的阐释，从理论层面上讲，拓宽了叙事语篇研究的视野，同时课题从修辞的视角诠释叙事语篇，在一定程度上也拓展了修辞学的研究范围；研究成果也有一定的应用和推广价值。

关键词：叙事语篇；西方修辞；理据；契合点；跨学科研究

Abstract

Discourse studies have become one of the focuses in language study after structuralism and generative grammar since the 1960s, and with the further research, its scope has turned much wider, ranging from anthropology, philosophy, psychology to cognitive science and so on. As a result, a key characteristic of discourse studies is interdisciplinary. Now discourse studies include structure, function, genre, type, context, interactive communication, the construction of discourse and social order, and interdisciplinary studies. However, little research has been undertaken in language study as far as the analysis of text type is concerned, and less attention is paid to narrative discourse studies from interdisciplinary perspective.

Rhetoric has been studied since ancient times in the West and in China. In China “Refining Language to Establish Truth” could be found in *The Book of Changes*. Rhetoric, evolving as an art of discourse or an art of persuasion from ancient Greece to modern times, has played a central role in the Western culture with its key characteristic and theory system. Moreover, in the 20th century “rhetorical turn” in the field of language study has given more prominence to the importance of rhetoric in humanities for its annotation to other subjects. This is why this dissertation aims to provide a rhetorical perspective on narrative discourse studies with an interdisciplinary approach. More importantly, narrative discourse studies are, to a certain extent, ignored in linguistic field, while the research in literary criticism is comparatively one-sided and unsystematic. With the emergence and spread of interdisciplinary studies across the academy today, there is a growing trend towards this kind of research.

This dissertation makes an attempt to explore the rhetorical motivation of narrative discourse studies, by means of which it is discovered that classical rhetoric constructed by Aristotle and other notable thinkers in ancient Greece constitutes the base for modern linguistics, and narrative discourse studies have the origins in

classical rhetoric. As far as the relevance between rhetoric and narrative discourse studies is concerned, it can be found that there exist interfaces between these two subjects in purpose, structure, context, communication, author and audience, motives, interdisciplinary research and so on. The most integration is that both these two kinds of research, using language as a symbolic action, emphasize the purpose for communication with audience, and they are closely related to reality with an emphasis on social interaction, which contributes to the academic interpretation of narrative discourse from rhetorical aspect. This project selects some rhetorical theories, such as symbolism of rhetoric, rhetorical motives, rhetorical situation, rhetorical interaction, persuasion, identification and other classic rhetorical theories to interpret narrative discourse, and claims that the creation and comprehension of narrative discourse can be clarified from rhetorical perspective.

This research, one study in social sciences, primarily employing qualitative method, also using quantitative analysis, has illustrated the rhetorical motivation of narrative discourse, has explicated the aspects, in which rhetoric and narrative discourse have something in common, and has determined that the creation and comprehension of narrative discourse can be clarified from rhetorical perspective. Theoretically, this study will provide a reference for narrative discourse and widen the scope of rhetoric. What has been done in this research will offer instructions for some spheres of applications.

Key words: narrative discourse; rhetoric; motivation; interface; interdisciplinary study